

## NOTICE ON THE FORM OF LICENCE, 2018

### Published under

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### FORM OF LICENCE

I, Caroline Dey da Silva, hereby determine under section 8(5) (a)(i) of the Financial Advisory and Intermediary Services Act, 2002 (Act 37 of 2002), that a licence authorising an applicant to act as a financial services provider must be in the form set out in the Schedule.

### Repeal

Board Notice 9 of 2004 published in *Government Gazette* 25942 on 23 January 2004, is hereby repealed.



### Short title and commencement

This notice is called the Notice on the Form of Licence, 2018, and comes into effect on date of publication in the *Government Gazette*.

(Signed)

**C D DA SILVA**  
For Financial Sector Conduct Authority

### SCHEDULE

	<!\$MG_Refno> <!\$MG_Date>
	
<b>FINANCIAL SECTOR CONDUCT AUTHORITY</b> <b>LICENCE &lt;!\$MG_FSPno&gt;</b>	
It is hereby certified that with effect from <!\$MG_approvaldate>	
<!\$MG_Name>	

is licensed as a financial services provider  
 in terms of section 8 of the Financial Advisory and Intermediary Services  
 Act, 2002 (Act No. 37 of 2002),  
 subject to the conditions and restrictions set out in the Annexure

.....  
 For Financial Sector Conduct Authority

DATE and Stamp



< !\$MG\_Refno >  
 < !\$MG Date >

**ANNEXURE**

FSP NAME: < !\$MG\_Name >

LICENCE No.  
 < !\$MG\_FSPno >

**CONDITIONS AND RESTRICTIONS**

1 The licensee is only authorised to provide the following financial services in respect of the corresponding category of financial product:

Category I Financial Services [Category I FSP]		
Financial Product	Advice	Intermediary Services

Category II Financial Services [Discretionary FSP]
Financial Product

Category IIA Financial Services [Hedge Fund FSP]
Financial Product

<b>Category III Financial Services</b> <b>[Administrative FSP]</b>
<b>Financial Product</b>

<b>Category IV Financial Services</b> <b>[Assistance Business FSP]</b>
<b>Financial Product</b>

2 Further restrictions on and conditions of the licence: